

01/22/02  
JC541 U.S. PTO

10054422 012202

01-24-02

A

Please type a plus sign (+) inside this box → ☐ +

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	3Com-95 (3736WSDUSP)
	First Inventor	Simon P. VALENTINE
	Title	METHOD AND APPARATUS FOR DETERMINING UNMANAGED NETWORK DEVICES IN THE TOPOLOGY OF A NETWORK
	Express Mail Label No.	EL632364621US

PTO 10/054422

01/22/02

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
---	--

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 19] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3] 5. Oath or Declaration with Power [Total Pages 4] a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. <input type="checkbox"/> (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
--	--

<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [1] Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) [2] 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Non Prov. of Provisional

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		007265 (Insert Customer No. or Attach bar code label here)		
Name				
Address				
City	State	Zip Code		
Country	Telephone	Fax		

Name (Print/Type)	Peter L. MICHAELSON	Registration No. (Attorney/Agent)	30,090
Signature	<i>Peter L. Michaelson</i>	Date	January 21, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

(3COM95TRANS/70:ca)

# MICHAELSON & WALLACE

A Partnership of Intellectual Property and Technology Lawyers

Doc. No. 3Com-95 (3736WSDUSP)

January 21, 2002

## PATENT APPLICATION

Peter L. Michaelson  
NJ, NY, PA, AK Bars

Robert M. Wallace  
CA Bar

Janet M. Skafar  
CA Bar

Arthur L. Liberman  
D.C. Bar

Commissioner for Patents

**BOX PATENT APPLICATION**

Washington, D. C. 20231

Sir:

### FEE TRANSMITTAL FORM

Enclosed herewith for filing is the following  
**utility** patent application:

Applicants: **Simon Peter VALENTINE,**  
**Christopher Robert LINZELL,**  
**Peter Wai LAM,**  
**Andrew Peter WHITE**

Title of application: **METHOD AND APPARATUS FOR DETERMINING  
UNMANAGED NETWORK DEVICES IN THE  
TOPOLOGY OF A NETWORK**

Pages of application: 19 (which includes 3 pps. of claims  
[1-13] and 1 page of abstract)

Sheets of drawing: 3 (FIGs. 1-5)

Executed on: **November 9, 2001, November 9, 2001,**  
**December 15, 2001 and November 9, 2001,**  
**respectively**

Atty. Doc. No.: **3Com-95 (3736WSDUSP)**

email:  
pmichaelson@mandw.com

web site:  
www.mandw.com

### PATENT APPLICATION FILING FEE CALCULATION

	<u>No. Filed</u>	<u>Less</u>	<u>Rate/Claim</u>	<u>Fee</u>
Total				
Claims	<u>13</u>	-20	0 x \$18.00	\$ 00.00
Independent				
Claims	<u>3</u>	-3	1 x \$84.00	\$ 00.00
			Minimum Filing Fee	\$ 740.00
			Multiple Dependency Fee	
			(if applicable - \$280.00)	\$ 00.00
			50% Reduction for Small Entity	
			(Independent Inventor, Non-profit	
			Corporation, or Small Business	
			Concern)	\$- 00.00

NJ Office (Reply to):

Parkway 109 Office Ctr.  
328 Newman Springs Rd.  
P.O. Box 8489  
Red Bank, NJ 07701  
Tel: 732-530-6671  
Fax: 732-530-6584/5  
Videoconference:  
732-224-0132 (ISDN)

Offices also in:

Ventura, California  
Silicon Valley, California

TOTAL FILING FEE \$ 740.00  
ASSIGNMENT RECORDATION \$ 40.00  
**TOTAL FEES ENCLOSED \$ 780.00**

Our check in the amount of the total filing fee is enclosed herewith.

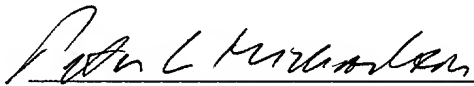
In the event that this check is unacceptable, insufficient or omitted, kindly charge the entire or additional filing fee, as appropriate, to my deposit account number 13-3083. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

Also enclosed herewith for filing in connection with the enclosed application are:

- XX Postcard Receipts (2);
- XX Check;
- XX Utility Patent Application Transmittal;
- XX Application Data Sheet (2 pps.);
- XX A Recordation Form Cover Sheet and an Assignment of the application to: 3Com Corporation (4 pps.);
- XX An executed Declaration and Power of Attorney (4 pps);
- XX A Submission of Priority Document with certified copy of UK 0115703.1 (6/27/01); and
- XX A Disclosure Statement, modified PTO Form SB/08A, UK Search Report and one (1) reference.

Respectfully submitted,

MICHAELSON & WALLACE

  
Peter L. Michaelson, Attorney  
Reg. No. 30,090  
Customer No. 007265

\*\*\*EXPRESS MAIL CERTIFICATION\*\*\*

"Express Mail" mailing label number: EL632364621US

Date of deposit: January 22, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.



\_\_\_\_\_  
Signature of person making certification

Peter L. Michaelson

\_\_\_\_\_  
Name of person making certification

10054422-012202

(3COM95FEELTR/70:ca)